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## DESCRIPTION

### FREQUENCY OFFSET QUANTITY DETECTING APPARATUS

#### Technical Field

5           The present invention relates to a frequency offset  
quantity detecting apparatus, and more particularly to  
a frequency offset quantity detecting apparatus and a  
frequency offset quantity detecting method thereof for  
the use of a communication apparatus for digital mobile  
10 communication.

#### Background Art

When radio communication is performed, the radio  
frequency of a transmitting end and the radio frequency  
15 of a receiving end are basically made to be the same.  
However, actually, a gap between them of about several  
ppm to several tens ppm occurs owing to the accuracy of  
their reference clocks. To estimate the frequency gap and  
correct it is called frequency offset compensation  
20 (Automatic Frequency Compensation; hereinafter  
abbreviated as AFC).

While analog communication was the mainstream, as  
the AFC, there was used a method in which the receiving  
end swept the frequency of its clock source in an arbitrary  
25 range to select a point having a high reception level,  
or the like. However, in these days when radio digital  
communication is the mainstream, there is used a method

in which the frequency offset quantity is estimated on the basis of a digital signal obtained by the A/D conversion of a received signal demodulated to a baseband frequency band to correct the frequency gap.

5        Although various methods are used and examined as the method of the estimation of the frequency offset quantity, there is generally known a method in which a phase difference between a former reception data and a latter reception data is obtained to remove the difference  
10   value owing to data modulation for obtaining the frequency offset quantity.

      In this case, because the initial pulling into synchronism takes time in case of the use of known signals, which are limited in number, there is proposed a method  
15   for detecting the frequency offset quantity by using unknown signals (data signals) for pursuing transmission efficiency.

      Hereinafter, a conventional reception apparatus will be described by reference to FIG. 1 to FIG. 3. FIG.  
20   1 is a block diagram showing the schematic configuration of a conventional reception apparatus; FIG. 2 is a block diagram showing the schematic configuration of the AFC section of the conventional reception apparatus; and FIG. 3 is graphs showing an example of an I-Q plane for  
25   illustrating frequency offsets. Incidentally, here, the reception apparatus for the use of mobile communication in the code division multiple access (CDMA) system is

examined.

In FIG. 1, an antenna 1 receives a radio signal, and a radio modulation demodulation section 2 converts the received signal from a high frequency signal to a baseband  
5 signal and outputs it to a reception procession section 3. The reception procession section 3 is composed of an A/D conversion section 4, a correlation section 5, an AFC section 6, a decoding section 7, and a error correction section 8. The A/D conversion section 4 performs the A/D  
10 conversion processing of an input received signal, and the correlation section 5 composed of, for example, a matched filer detects a demodulated signal.

The AFC section 6 detects a frequency offset quantity on the basis of the demodulated signal output from the  
15 correlation section 5, and outputs the detected frequency offset quantity to the decoding section 7 and a clock source 10. The details will be described later.

The decoding section 7 performs the phase compensation processing of the input demodulated signal  
20 on the basis of the frequency offset quantity being an output of the AFC section 6, and then performs the soft decision processing of the processed signal. The error correction section 8 performs the codec processing such as the de-interleave processing and the error correction  
25 processing of the decided signal, and outputs the processed signal to a baseband signal processing section 9. The baseband signal processing section 9 obtains the

received data from the received signal after the reception processing thereof was performed by the reception processing section 3, and also obtains and transmission data to output them to a transmission processing section 11.

The clock source 10 keeps a reference clock frequency, and corrects the reference clock frequency on the basis of the frequency offset quantity that is the output of the AFC section 6, and further outputs the reference clock frequency to the radio modulation demodulation section 2, the A/D conversion section 3 and the baseband signal processing section 9. The transmission processing section 11 performs the transmission processing of a transmission baseband signal to output it to the radio modulation demodulation section 2.

Next, the configuration of the AFC section 6 and the frequency offset detection operation thereof will be described by reference to FIG. 2 and FIG. 3.

When the frequency offset is not detected by the use of known signals but is detected by the use of unknown signals (data signals), a received demodulated signal  $D_m$  is situated in any one of the first quadrant to the fourth quadrant but can not be specified. Then, if it is supposed that the noise level is sufficiently low, the demodulated signal is situated at one point in each quadrant as shown in FIG. 3A in the case where a frequency offset does not exist, but the position of the demodulated signal shifts

as the passage of time as shown in FIG. 3B in the case where the frequency offset  $\theta f$  exists.

Because the offset quantity  $\theta f$  between the received symbol that delayed by one symbol and the present received symbol is always constant, the offset quantity  $\theta f$  can be obtained by the operation of the difference between the received symbol that delayed by one symbol and the present received symbol.

Accordingly, a delay unit 21 delays the input received demodulated signal  $D_m$  by one symbol, and a subtracter 22 subtracts the output of the delay unit 21 from the present symbol, and further a phase detector 23 converts the subtraction result  $\Delta D_m$  of the subtracter 22 to a phase angle to detect a phase shift  $\theta_m$ .

However, the phase shift  $\theta_m$  is not equivalent to the frequency offset  $\theta f$  and the phase shift  $\theta_m$  also includes a phase offset  $\theta_d$  owing to the data modulation ( $\theta_m = \theta_d + \theta f$ ). Consequently, it is necessary to remove the phase offset  $\theta_d$ .

Now, if the modulation system is supposed to be the quadrature phase shift keying (QPSK), the phase offset  $\theta_d$  is  $0^\circ$ ,  $90^\circ$ ,  $180^\circ$  and  $270^\circ$ . Because these values become multiples of  $360^\circ$  by the multiplication by four, the frequency offset  $\theta f$  can be obtained by the removal of  $\theta_d$  from  $\theta_m$  by the following computation formula.

$$\begin{aligned} & ((4 \times \theta_m) \bmod(360^\circ)) / 4 \\ & = ((4 \times (\theta_d + \theta f)) \bmod(360^\circ)) / 4 \end{aligned}$$

$$\begin{aligned}
 &= ((4\theta_d + 4\theta_f) \bmod(360^\circ)) / 4 \\
 &= 4\theta_f / 4 \\
 &= \theta_f
 \end{aligned}$$

Accordingly, the frequency offset  $\theta_f$  is obtained  
 5 by the following: the phase difference  $\theta_m$  is multiplied  
 by four by a multiplier 24, and the remainder when the  
 output of the multiplier 24 is divided by  $360^\circ$  is  
 calculated by a modulo (mod) arithmetic unit 25, and 4  
 $\theta_f$  is multiplied by  $1/4$  by a multiplier 26. Then, last,  
 10 an averaging section 27 averages the frequency offset  
 quantity  $\theta_f$  in an arbitrary interval to perform the  
 estimation and the correction of the frequency offset  
 quantity.

As described above, because the conventional  
 15 frequency offset detecting method uses not only the  
 limited known signals but also data signals, the  
 shortening of the initial pulling time of the AFC is  
 possible.

However, the conventional frequency offset  
 20 detecting method has a problem that the accuracy of  
 estimation can be deteriorated because the method uses  
 the received signal at a step before the performance of  
 the error correction processing.

Because the bit error ratio (BER) after error  
 25 correction supposed in the future cellular system using  
 CDMA or the like is about  $10^{-3}$ , the BER of a signal before  
 the error correction is equal to or more than  $10^{-1}$  when

it is reckoned back. When the frequency offset quantity is estimated by the use of a signal having such a BER, the deterioration of the estimation accuracy becomes large and the initial pulling can be difficult.

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#### Disclosure of Invention

An object of the present invention is to provide a reception apparatus and a frequency offset quantity estimation method thereof for the improvement of frequency offset quantity estimation accuracy as well as the shortening of the initial pulling time.

A subject matter of the present invention is to aim the improvement of frequency offset quantity estimation accuracy by the use of a known signal and to aim the shortening of initial pulling time by picking up the large number of phase difference samples from the limited symbol information by the use of one-symbol phase difference information and two-symbol phase difference information together with the known signal.

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#### Brief Description of Drawings

FIG. 1 is a block diagram showing the schematic configuration of a conventional reception apparatus;

FIG. 2 is a block diagram showing the schematic configuration of the AFC section of the conventional reception apparatus;

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FIG. 3A is a graph showing an example of I-Q plane

for illustrating a frequency offset;

FIG. 3B is a graph showing the example of the I-Q plane for illustrating a frequency offset;

FIG. 4 is a block diagram showing the schematic configuration of the AFC section of a reception apparatus according to embodiment 1 of the present invention;

FIG. 5 is a block diagram showing the schematic configuration of the AFC section of a reception apparatus according to embodiment 2 of the present invention;

FIG. 6 is a block diagram showing the schematic configuration of the AFC section of a reception apparatus according to embodiment 3 of the present invention; and

FIG. 7 is a graph for illustrating the calculation method for making the angular component of a complex signal the half thereof.

#### Best Mode for Carrying Out the Invention

Hereinafter, embodiments of the present invention will be described in detail by reference to the drawings.

#### (EMBODIMENT 1)

The reception apparatus according to the embodiment 1 detects a frequency offset quantity by the use of the one-symbol phase difference information and the two-symbol phase difference information of a known symbol.

Hereinafter, the reception apparatus according to the present embodiment will be described by reference to FIG. 4. FIG. 4 is a block diagram showing the schematic



configuration of the AFC section of the reception apparatus according to the embodiment 1 of the present invention.

In FIG. 4, a delay unit 101 delays a received known symbol  $D_m$  input into the AFC section by one symbol and outputs one-symbol delayed received known symbol  $D_{m-1}$ , and a subtracter 102 performs the subtraction processing of the one-symbol delayed received known symbol  $D_{m-1}$  from the received symbol  $D_m$  to output the subtraction result  $\Delta D_{m1}$ .

A delay unit 103 delays the input one-symbol delayed received known symbol  $D_{m-1}$  by one symbol to output a two-symbol delayed received known symbol  $D_{m-2}$ , and a subtracter 104 performs the subtraction processing of the two-symbol delayed received known symbol  $D_{m-2}$  from the received symbol  $D_m$  to output the subtraction result  $\Delta D_{m2}$ .

A phase detecting section 105 converts the subtraction result  $\Delta D_{m1}$  to a phase angle and detects a phase shift  $\theta_{m1}$ , and a phase detecting section 106 converts the subtraction result  $\Delta D_{m2}$  to a phase angle and detects a phase shift  $\theta_{m2}$ .

Here, the phase shifts  $\theta_{m1}$ ,  $\theta_{m2}$  are not equivalent to the frequency offset  $\theta_f$ , but the phase shifts  $\theta_{m1}$ ,  $\theta_{m2}$  severally include a phase offset owing to the data modulation of the reception signal, too. But, if the modulation system is known, the phase offset owing to the

data modulation of a known signal is known. Accordingly, a memory 107 keeps phase offsets  $\phi_{m1}$ ,  $\phi_{m2}$  owing to the data modulation of known symbols beforehand.

A subtracter 108 performs the subtraction processing  
 5 of the phase offset  $\phi_{m1}$  from the phase shift  $\theta_{m1}$ , and a subtracter 109 performs the subtraction processing of the phase offset  $\phi_{m2}$  from the phase shift  $\theta_{m2}$ . A multiplier 110 multiplies the output of the subtracter 109, which is the frequency offset quantity for two symbols,  
 10 by 1/2 to adjust the output to be for one symbol.

An averaging section 111 averages the output of the subtracter 108 and the output of the multiplier 110 for an arbitrary interval, and outputs the averaged value as an estimated frequency offset quantity.

15 Next, the operation of the apparatus having the aforesaid configuration will be described.

The received symbol  $D_m$  is delayed by one symbol by the delay unit 101, and the subtraction processing of the one-symbol delayed received known symbol  $D_{m-1}$  from the  
 20 received symbol  $D_m$  is performed by the subtracter 102.

The one-symbol delayed received known symbol  $D_{m-1}$  is delayed by one symbol by the delay unit 103, and the subtraction processing of the two-symbol delayed received known symbol  $D_{m-2}$  from the received symbol  $D_m$  is performed  
 25 by the subtracter 104.

The calculated subtraction results  $\Delta D_{m1}$ ,  $\Delta D_{m2}$  are converted to the phase shifts  $\theta_{m1}$ ,  $\theta_{m2}$  by the phase

detection sections 105, 106, respectively, and the subtraction processing of the phase offsets  $\phi_{m1}$ ,  $\phi_{m2}$  from the phase shifts  $\theta_{m1}$ ,  $\theta_{m2}$  is performed by the subtracters 108, 109, respectively.

5        The averaging processing of the output of the subtracter 108 and the output of the subtracter 109 to which  $1/2$  is multiplied by the multiplier 110 is performed by the averaging section 111, and the averaged output is output as an estimated frequency offset quantity.

10        As described above, according to the present embodiment, the number of samples is increased not only by the use of a known signal together with the one-symbol phase difference information but also by the use of the two-symbol phase difference information. Consequently,  
 15        it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency offset compensation simultaneously.

(EMBODIMENT 2)

20        The reception apparatus according to the present embodiment has a configuration similar to that of the embodiment 1, but the present embodiment beforehand converts the received known symbol to a phase rotation quantity being a complex signal.

25        Hereinafter, the reception apparatus according to the present embodiment will be described by reference to FIG. 5. FIG. 5 is a block diagram showing the schematic

configuration of the AFC section of a reception apparatus according to the embodiment 2 of the present invention. Incidentally, the constituent elements similar to those of the embodiment 1 are designated by the same reference marks as those of the embodiment 1, and their detailed descriptions are omitted.

A phase rotation detecting section 201 detects the phase rotation quantity  $R_m$  (complex signal) of a received known symbol by the use of a known signal stored in a memory 202.

After that, the processing similar to that of embodiment 1 is performed by the use of the phase rotation quantity  $R_m$  in place of the received known symbol  $D_m$  for detecting the frequency offset  $\theta f$ . That is, the phase rotation quantity  $R_m$  is delayed by one symbol by the delay unit 101, and the subtraction processing of the one-symbol delayed phase rotation quantity  $R_{m-1}$  from the phase rotation quantity  $R_m$  is performed by the subtracter 102. The one-symbol delayed phase rotation quantity  $R_{m-1}$  is delayed by one symbol by the delay unit 103, and the subtraction processing of the two-symbol delayed phase rotation quantity  $R_{m-2}$  from the phase rotation quantity  $R_m$  is performed by the subtracter 104. The calculated subtraction results  $\Delta R_{m1}$ ,  $\Delta R_{m2}$  are converted to the phase shifts  $\theta_{m1}$ ,  $\theta_{m2}$  by the phase detecting sections 105, 106, respectively. The averaging processing of the output of the phase detecting section 105 and the output of the phase

detecting section 106 to which  $1/2$  is multiplied by the multiplier 110 is performed by the averaging section, and the processed output is output as an estimated frequency offset quantity.

5 In the embodiment, because the processing is performed after the previous conversion of the received symbol to the phase rotation quantity being a complex signal before the delaying of the received symbol, the phase offset removing processing by the subtracters 108,  
10 109 in FIG. 4 becomes unnecessary.

As described above, according to the present embodiment, the frequency offset detecting processing is performed after the previous conversion of the received known symbol to the phase rotation quantity being a complex  
15 signal, and thereby the process of the subtraction of the phase offset owing to data modulation from the detected phase shift can be omitted. Consequently, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the  
20 shortening of the initial pulling time of the frequency offset compensation simultaneously in a simpler configuration.

(EMBODIMENT 3)

The reception apparatus according to the present  
25 embodiment has a configuration similar to that of the embodiment 2, but converts the phase rotation quantity to a phase shift angle after the averaging processing.

Hereinafter, the reception apparatus according to the present embodiment will be described by reference to FIG. 6 and FIG. 7. FIG. 6 is a block diagram showing the schematic configuration of the AFC section of a reception apparatus according to the embodiment 3 of the present invention, and FIG. 7 is a graph for illustrating a calculation method for making the angular component of a complex signal a half thereof. Incidentally, the constituent components similar to those of the embodiment 2 are designated by the same reference marks as those of the embodiment 2, and their detailed descriptions are omitted.

Because  $\Delta Rm2$  being the output of the subtracter 104 in FIG. 6 is a phase rotation quantity for two symbols, it is necessary that the angular component of the  $\Delta Rm2$  being a vector quantity is converted to a half thereof. Hereinafter, the conversion principle will be explained by the use of FIG. 7.

In FIG. 7, it is supposed that the angular component of an arbitrary complex signal  $V$  is made to be a half thereof without the use of angular information. When a rhombus two sides of which are  $I$  axis and the original complex signal  $V$  on the  $I$ - $Q$  plane is considered, the diagonal line vector from the origin to the residual one vertex is a vector that divides the angular component of the complex signal  $V$  into two equal parts. Accordingly, by the addition of a complex signal  $(|V|, 0)$  that is

parallel to the positive direction of the I axis and is sized as large as the complex signals V to the original complex signal V, a complex signal V' the angular component of which is a half of that of the original complex signal V can be obtained.

Accordingly, in FIG. 6, a vector generating section 301 generates a complex signal  $(|\Delta Rm2|, 0)$  that is parallel to the positive direction of the I axis and has the same largeness as that of the complex signal  $\Delta Rm2$ , and an adder 302 performs the addition processing of the complex signal  $(|\Delta Rm2|, 0)$  and the complex signal  $\Delta Rm2$  to output a complex signal  $\Delta Rm2'$  having an angular component of a half of that of the complex signal  $\Delta Rm2$  to the averaging section 111. A phase detecting section 303 detects a phase angle in the complex signal the averaging processing of which was performed to output the detected phase angle as an estimated frequency offset quantity.

As described above, according to the present embodiment, the averaging processing of two kinds of phase difference information in a state of complex signals is performed, and consequently the processes of detecting a phase angle from a complex signal can be converged to one time of performance after averaging processing. Thereby, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time

of the frequency offset compensation simultaneously in a simpler configuration.

Incidentally, although the communication system of the CDMA system is cited as an example in the embodiments 5 1-3, the present invention may be applied to any reception apparatus using the radio AFC and its communication system is indifferent.

Moreover, as the averaging method in the averaging section, there can be used arbitrary methods according 10 to the system such as the method of moving average, and a weighted averaging method using a forgetting coefficient.

A frequency offset quantity detecting apparatus according to the present invention has a configuration 15 comprising: a first detecting section for subtracting a previously held phase offset quantity owing to data modulation from a phase shift angle detected from one-symbol phase difference information of a received known symbol; a second detecting section for subtracting 20 the previously held phase offset quantity owing to data modulation from a phase shift angle detected from two-symbol phase difference information of the received known symbol, and for multiplying the subtracted two-symbol phase difference information by  $1/2$ ; and an 25 averaging section for averaging an output value of the first detecting section and an output value of the second detecting section for an arbitrary interval, and for



outputting an averaged output value.

According to the configuration, because the number of samples is increased by the use of not only a known signal together with the one-symbol phase difference  
5 information but also two-symbol phase difference information, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency offset compensation simultaneously.

10 The frequency offset quantity detecting apparatus according to the present invention has a configuration comprising a converting section for converting the received known symbol to a complex signal at a previous step of the first detecting section and the second  
15 detecting section.

According to the configuration, because a step of subtracting a phase offset owing to data modulation from the detected phase shift can be omitted by performing the detection processing of the frequency offset quantity  
20 after converting the received known symbol to a phase rotation quantity being a complex signal beforehand, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency  
25 offset compensation simultaneously in a simpler configuration.

The frequency offset quantity detecting apparatus

according to the present invention has a configuration wherein the second detecting section includes an operation section for multiplying a phase angle of the complex signal by  $1/2$  by vector operation.

5        According to the configuration, because the step of detecting phase angles from the complex signals can be converged to one time of performance after averaging processing by performing the averaging processing of two kinds of phase difference information in a state of complex  
10        signals, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency offset compensation simultaneously in a simpler configuration.

15        A frequency offset quantity detecting method according to the present invention comprising: a first detecting step of subtracting a previously held phase offset quantity owing to data modulation from a phase shift angle detected from one-symbol phase difference  
20        information of a received known symbol; a second detecting step of subtracting the previously held phase offset quantity owing to data modulation from a phase shift angle detected from two-symbol phase difference information of the received known symbol, and of multiplying the  
25        subtracted two-symbol phase difference information by  $1/2$ ; and an averaging step of averaging an output value at the first detecting step and an output value at the

second detecting step for an arbitrary interval, and of outputting an averaged output value.

According to the configuration, because the number of samples is increased by the use of not only a known  
5 signal together with the one-symbol phase difference information but also two-symbol phase difference information, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling  
10 time of the frequency offset compensation simultaneously.

The frequency offset quantity detecting method according to the present invention converts the received known symbol to a complex signal at a previous step of the first detecting step and the second detecting step.

15 According to the configuration, because a step of subtracting a phase offset owing to data modulation from the detected phase shift can be omitted by performing the detection processing of the frequency offset quantity after converting the received known symbol to a phase  
20 rotation quantity being a complex signal beforehand, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency offset compensation simultaneously in a simpler  
25 configuration.

The frequency offset quantity detecting method according to the present invention multiplies a phase

angle of the complex signal by  $1/2$  by vector operation in the second detecting step.

According to the method, because the step of detecting phase angles from the complex signals can be converged to one time of performance after averaging processing by performing the averaging processing of two kinds of phase difference information in a state of complex signals, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency offset compensation simultaneously in a simpler configuration.

As described above, according to the present invention, because a large number of phase difference samples are picked up from the limited symbol information by the use of a known signal and by the use of one-symbol phase difference information and two-symbol phase difference information together with the known signal, it becomes possible to realize the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency offset compensation simultaneously.

This application is based on the Japanese Patent Application No. HEI 11-213955 filed on July 28, 1999, entire content of which is expressly incorporated by reference herein.

### Industrial Applicability

The present invention can be applied to a communication terminal apparatus and a base station apparatus in a digital radio communications system.

5    Thereby, because a large number of phase difference samples are picked up from the limited symbol information by the use of a known signal together with the one-symbol phase difference information and the two-symbol phase difference information, it becomes possible to realize

10   the improvement of the estimation accuracy of the frequency offset quantity and the shortening of the initial pulling time of the frequency offset compensation simultaneously.